### **Review**

# Tumor necrosis factor $\alpha$ in the pathogenesis of cerebral malaria

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Received 4 December 2002; received after revision 7 February 2003; accepted 14 February 2003

Abstract. Physiologically in the brain, cytokines such as tumor necrosis factor-alpha (TNF $\alpha$ ) are released by the immune system and can modulate neurological responses. Conversely, the central nervous system (CNS) is also able to modulate cytokine production. In the case of CNS disorders, cytokine release may be modified. Cerebral malaria (CM) is a complication of *Plasmodium fal*ciparum infection in humans and is characterized by a reversible encephalopathy with seizures and loss of consciousness. Central clinical signs are partly due to sequestration of parasitized red blood cells in the brain microvasculature due to interactions between parasite proteins and adhesion molecules. TNF $\alpha$  is produced and released by host cells following exposure to various malarial antigens. The increase of TNF $\alpha$  release is responsible for the overexpression of adhesion molecules. This article reviews the involvement of TNF $\alpha$  in cerebral

malaria and the relation with all the processes involved in this pathology. It shows that (i) TNF $\alpha$  levels are increased in plasma and brain but with no clear correlation between TNF $\alpha$  levels and occurrence and severity of CM; (ii) TNF $\alpha$  is responsible for intercellular adhesion molecule-1 upregulation in CM, the relation being less clear for other adhesion molecules; (iii) TNF $\alpha$  receptors are upregulated in CM, with TNF receptor 2 (TNFR2) showing a higher upregulation than TNFR1 in vivo; (iv) in murine CM, low doses of TNF $\alpha$  seem to protect from CM, whereas excess TNF $\alpha$  induces CM and anti-TNF $\alpha$  therapies (antibodies, pentoxifylline) did not show any efficiency in protection from CM. Moreover, the involvement of lymphotoxin  $\alpha$ , which shares with TNF $\alpha$  the same receptors with similar affinity, appears to be an interesting target for further investigation.

Key words. Cerebral malaria; tumor necrosis factor- $\alpha$ ; TNF receptor; cytokine; adhesion molecules; blood-brain barrier.

#### Introduction

Cerebral malaria (CM) is a major life-threatening complication of *Plasmodium falciparum* infection in humans. Although the physiopathology has been extensively investigated, cellular and molecular bases of the neurological pathology are still unclear, particularly the intricacy of

the different factors involved in pathogenesis: secretion of cytokines; sequestration of parasitised red blood cells (PRBCs) leading to mechanical blockage of microvessels; modifications of the T cell repertoire; immune status and genetic background of the host; parasite factor [1]. Sequestration of PRBCs to the surface of the microvasculature of various organs including the brain and the lungs is mediated by different endothelial cell surface receptors, including thrombospondin (TSP), CD36,

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intercellular adhesion molecule-1 (ICAM-1), E-selectin, vascular cellular adhesion molecule-1 (VCAM-1), CD31,  $\alpha_v \beta_3$  integrin and hyaluronic acid [2]. The expression of part of these adhesion molecules is reportedly modulated by cytokines [3], such as tumor necrosis factor- $\alpha$  (TNF $\alpha$ ), a molecule known to be involved in the pathogenesis of cerebral malaria. In humans, it upregulates endothelial adhesion molecules ICAM-1 and VCAM-1 [3], and therefore increases sequestration of PRBCs within the microvasculature of the brain and other organs such as lungs, kidneys and so on. In murine models, blockage of cerebral vessels is also associated with the accumulation of activated leucocytes as well as with infected red blood cells [4].

Various studies reported the critical role of TNF $\alpha$  in human CM [5], while at the same time this role was seriously questioned by the failure of TNF $\alpha$ -neutralising agents to decrease the incidence of CM.

Whatever the precise role of TNF $\alpha$  in CM, it is clear that this cytokine acts in concert with other cytokines and mediators [1]. The objective of this article is to review the data available in the literature regarding TNF $\alpha$  and CM in order to clarify the place of this cytokine in the pathogenesis of CM.

#### TNFα

TNF $\alpha$  is a pro-inflammatory cytokine principally produced by activated immune cells, such as macrophages, T and B cells, and mast cells. TNF $\alpha$  is produced mainly as a soluble 17-kDa secreted protein and also in transmembrane form at the surface of macrophages and activated T cells [6–8]. TNF $\alpha$  may have both beneficial and detrimental functions. It can activate host defense and promote resistance to infectious diseases, and it can also be involved in toxicity and inflammatory processes [9, 10]. It plays an important role in inflammatory reactions by:

- promoting extravasation of neutrophils, lymphocytes and monocytes, and enhancing their adhesion to endothelial cells [11]
- affecting immune responses by controlling T cell activation, which stimulates cell surface expression of major histocompatibility complex (MHC) class I and II molecules on a variety of cell types [12]
- inducing the synthesis of numerous pro-inflammatory cytokines [interleukin (II)-1, II-6, ...] and TNFα itself [13]
- inducing apoptosis of different cell types, including endothelial cells [14].

Contradictory data indicate that TNF $\alpha$  has multiple physiological effects in the brain:

• in the embryonic development of the brain, the role of TNF $\alpha$  is controversial. High levels of TNF $\alpha$  have been reported in the embryonic brain [15], while no alteration of the brain has been described in mutant mice

- lacking TNF $\alpha$  receptors (TNFR1 and TNFR2) or in TNF $\alpha$  knockout mice [16]
- TNFα is implicated in CNS homeostasis and is involved in the proliferation and survival of CNS cells.
  It induces proliferation of astrocytes and glioma cells [17]
- it also has pro-inflammatory effects through modulation of MHC class I expression in astrocytes and neurons [17].

TNF $\alpha$  binds to two types of TNF receptors: TNFR1 (55–60 kDa) and TNFR2 (75–80 kDa) with similar affinity. TNF $\alpha$  actions mediated by binding to TNFR1 are mainly cytotoxic, while those mediated by binding to TNFR2 are proliferative. In most cells, both receptors are coexpressed, and some data suggest that TNFR1 mediates most of the actions of soluble TNF $\alpha$ , while TNFR2 mediates most of those of transmembrane TNF $\alpha$  [7].

Astrocytes, microglia and neurons are able to locally produce TNF $\alpha$  after physiological and pathological stimuli [18].

The overproduction of TNF $\alpha$  is related to brain damage in pathological situations, such as bacterial meningitis, multiple sclerosis, Alzheimer's disease and CM. Brain damage has been evidenced in transgenic mice overexpressing TNF $\alpha$  and has been prevented by pretreatment with antimurine TNF $\alpha$  antibody [19].

Different data suggest that the toxic role of TNF $\alpha$  in inflammatory pathologies is more targeted at the bloodbrain barrier (BBB) (astrocytes) rather than the central nervous system (CNS) (neurons) itself. Transgenic mice overexpressing noncleavable transmembrane human TNF $\alpha$  in astrocytes develop CNS inflammation, while transgenic mice overexpressing transmembrane TNF $\alpha$  in their neurons do not [17].

#### Production of TNFα in malaria and CM

In humans, TNF $\alpha$  is synthesised as a transmembrane molecule and can be released by metalloproteinases from the immune cells into biological fluids. TNF $\alpha$  is produced and released by immune host cells following exposure to various malarial antigens at different steps of the life cycle of *Plasmodium* species [20, 21]:

- At the preerythrocytic phase, when parasites enter the host via the saliva of an infected female *Anopheles* mosquito during feeding, the sporozoite circulates in the blood stream before invading the liver. During this brief sporozoite phase, sporozoite antigens stimulate TNFα release in a short period of time [21].
- At the liver stage, parasites invade the host's hepatocytes, where they multiply. Very few studies have reported the involvement of TNF $\alpha$  at this stage [22, 23].
- After multiplication in the liver, merozoites are released in the bloodstream and infect red blood cells by

receptor-mediated endocytosis. At this erythrocytic stage after asexual reproduction, mature schizonts are produced. Severe clinical manifestations of malaria and especially CM, occur at this erythrocytic stage. After rupture of schizonts, toxins are released. Among malaria toxins, *P. falciparum* glycosylphosphatidyl inositol (GPI) has been shown to induce TNF $\alpha$  release in mice [24] and to stimulate TNF $\alpha$  production in vitro by macrophages [25, 26]. The recent observation [27] that anti-GPI vaccination could prevent pathology and fatalities in the *Plasmodium berghei* rodent model of severe malaria demonstrates the deleterious role of GPI and TNF $\alpha$ . The involvement of TNF $\alpha$  in CM at this erythrocytic stage constitutes the focus of this review.

After asexual reproduction, infective male and female gametocytes are produced from a subpopulation of infected red blood cells and are ingested by *Anopheles* during feeding to complete the life cycle of the parasite. At this sexual stage, the production of TNFα by gametocytes and the role of TNFα in killing them is unknown in vivo. The ability of TNFα to kill gametocytes has only been demonstrated in vitro [28].

#### $\mathbf{CM}$

CM is one of the most severe complications of *P. falci-parum* infection in humans, characterised by a reversible encephalopathy with seizures and loss of consciousness. CM is diagnosed in a patient who is unable to localise a painful stimulus, has peripheral asexual falciparum parasitaemia and has no other causes of encephalopathy.

Very little is understood about the mechanism by which the parasite, which remains intravascular and does not enter the brain parenchyma, may induce a neurological syndrome

Early studies have reported multiple pathologic changes in CM such as (i) sequestration of parasitised red blood cells in small cerebral vessels, endothelial cell damage, haemorrhage, thrombosis and mononuclear cell inflammation [29] and (ii) schizont rupture releasing toxins that stimulate monocytes and macrophages to produce TNF $\alpha$  and other cytokines [30].

In humans, cerebral symptoms in CM may be explained by the concomitant phenomena:

- schizont rupture releases toxins that stimulate monocytes and macrophages to produce TNF $\alpha$  and other cytokines [30]
- TNF $\alpha$  increases the expression of adhesion molecules [3]
- parasitised erythrocytes form 'rosettes' with several unparasitised red blood cells and express at their surface a parasite protein PfEMP-1 (*Plasmodium falci*parum erythrocyte membrane protein-1) [31]
- interactions occur between PfEMP-1 and adhesion molecule receptors, followed by cytoadherence [20].

The occurrence and severity of CM depends upon the ability of parasitised erythrocytes both to adhere to the endothelium of microvessels in the brain and to form rosettes with unparasitised red blood cells.

In this cascade of reactions, the correlation between cerebral symptoms and TNF $\alpha$  levels in the plasma or the brain, the existence of BBB disruption and the role of TNF $\alpha$  in this disruption, the involvement and correlation with TNF $\alpha$  of nitric oxide, and the efficacy of anti-TNF $\alpha$  therapies in CM all remain to be clarified.

As studies of CM are difficult to conduct in humans, experimental animal models have been developed in order to find one bearing close similarity to human CM. Primate models in rhesus monkeys infected with Plasmodium caotneyi have been reported but have limited application due to practical and cost problems [32]. For these reasons, murine models are more accessible by infection with either Plasmodium berghei ANKA (PbA) or P. berghei K173. As in humans, an increase of cerebral TNF $\alpha$  has been evidenced in mice, which results in upregulation of adhesion molecules. In contrast, differences between both species have been reported. For example, a predominant sequestration of leukocytes in cerebral capillaries in the murine model has been reported, while sequestration of parasitised red blood cells is responsible for cerebral symptoms in humans [13]. Hearn at al. [33], however, suggested that both parasitised erythrocytes and leukocytes contribute to the microvascular lesion during murine CM as well as in humans, and Lou et al. [34] described similarities between the murine model and human CM, such as the presence of mononuclear cells in brain venules and upregulation of TNFR2.

#### Correlation between TNF a levels and CM in human

#### Levels of TNFα in human cerebral malaria

#### Plasma TNFα levels

Increased levels of cytokines have been demonstrated in human CM, such as interferon- $\gamma$  (IFN- $\gamma$ ), IL-1, IL-6, IL-8 and TNF $\alpha$  [35]. The plasma level of TNF $\alpha$  seems to be related to the occurrence of fever and complications but is not clearly correlated with CM [36].

In children,  $\text{TNF}\alpha$  plasma levels are higher in cases of fatal malaria compared with nonfatal malaria and in CM compared with noncomplicated malaria [37–39]. In *Plasmodium vivax* malaria, Karunaweera et al. [40] have demonstrated that changes in TNF plasma levels paralleled the rise and fall in temperature preceding them by 30–60 min during paroxysms, which suggests that fever paroxysm in malaria could be regulated by  $\text{TNF}\alpha$  plasma levels. Renal failure in severe malaria is associated with high levels of plasma  $\text{TNF}\alpha$ . Severe falciparum malaria is associated with acute renal failure with acute tubular necrosis.

In Vietnamese patients, serum creatinine levels were strongly correlated with TNF $\alpha$  and poorly with IL-10 and IFN- $\gamma$  [41]. In contrast, in these same patients, Day et al. [41] demonstrated that CM patients had significantly lower plasma levels of IL-1 $\beta$ , IL-10, IL-6, IFN- $\gamma$  and TNF $\alpha$  when compared with conscious patients. Within the CM patients, associated multiple vital organ dysfunction was associated with higher levels of cytokines than in patients with coma alone. This suggests that previously reported correlations between high TNF $\alpha$  plasma levels and CM [38, 39, 42] better reflect associated noncerebral complications [41].

Data suggest that the timing and amounts of TNF $\alpha$  released may be a determinant of pathological events and perhaps mortality [43].

#### Brain levels of TNFα

Brown et al. [5] observed an increase in proinflammatory cytokines and especially in TNF $\alpha$ , but TNF $\alpha$  messenger RNA (mRNA) induction was not specific to CM (when compared with other infectious meningitis), did not correlate with parasite sequestration and was also seen in the spleen.

#### Polymorphisms of TNFα and CM

Genetic variation in cytokine promoter regions has been postulated to influence susceptibility to infection.

The TNF $\alpha$  gene lies in the class III region of the MHC. In West African children, it has been shown that a human leucocyte class I antigen and an histocompatibility leukocyte antigen (HLA) class II haplotype, common in West Africans but rare in other racial groups, are independently associated with protection from severe malaria [44]. Single-nucleotide polymorphisms of the TNF promoter have been reported to be associated with susceptibility to or protection from severe malaria. Four mutations are transitions of adenosine to guanine and are located at nucleotide positions –238, –244, –308 and –376, relative to the transcriptional site [10, 45]. Various studies have investigated the relation existing between TNF promoter alleles and severity of malaria.

Two studies performed on Gambian children demonstrated that the TNF<sub>-238</sub> A allele was associated with susceptibility to severe malarial anaemia, but not to CM [46], whereas the neighboring TNF<sub>-308</sub> A allele was associated with CM but not with severe malarial anaemia [47].

In Kenyan children, the TNF<sub>-308</sub> A allele was significantly associated with high *P. falciparum* parasitaemia. Among low birth weight children, the TNF<sub>-308</sub> A allele was associated with severe anaemia and showed a trend toward a risk for severe malarial anaemia [48].

Knight et al. [49] demonstrated that the TNF<sub>-376</sub> polymorphism is located in a region of multiple DNA-protein interactions, and the less common allele acts to recruit

OCT-1 to this region. OCT-1 is a transcription factor whose interactions with other proteins lead to diverse effects on gene regulation. The OCT-1-binding genotype is found in  $\sim 5\%$  of Africans and is associated with a four-fold increase in susceptibility to CM.

In Myanmar, patients with falciparum malaria (200 with uncomplicated malaria and 43 with CM), the  $TNF\alpha$  promoter allele, TNFP-D, was significantly associated with CM. TNFP-D showed no significant linkage disequilibrium with any alleles of HLA-B or HLA-DRB1, suggesting that TNFP-D was primarily associated with CM in Myanmar [50].

In contrast with other studies, in a work conducted in Gabon and comparing malaria severity and TNF promoter variants, May et al. [10] observed that the frequencies of carriers of distinct TNF variants did not differ significantly in the groups with mild and severe malaria. Moreover, TNF plasma levels were not significantly associated with any of the TNF variants.

#### P. falciparum strains and levels of TNF $\alpha$

Allan et al. [51] have raised an interesting question of whether CM could be associated with strains of P. falciparum that induce an abnormally high TNF $\alpha$  response. To investigate that hypothesis, they collected parasite isolates from Gambian children with uncomplicated malaria fever or CM and tested the ability of parasitised erythrocytes to induce TNF production in human PBMCs. Even if CM isolates tended to stimulate more TNF production than uncomplicated malaria isolates, a huge overlap was observed between the two groups, which provides limited support for the hypothesis that CM was caused by P. falciparum strains inducing high levels of TNF $\alpha$ .

#### TNFα in murine CM model

If controversies remain concerning the fact that in humans, neurological symptoms are due to sequestration of parasitised erythrocytes within the microvasculature, whereas in the murine model of CM they are related to leukocyte sequestration, there is no discussion about the involvement of TNF $\alpha$ -induced upregulation of endothelial adhesion molecules in the sequestration of cells within the cerebral vasculature [52, 53].

The development of an animal model of CM gave the opportunity to investigate relations between CM and TNF brain or systemic levels.

Mouse CM is associated with high levels of TNF $\alpha$ ; however, systemic injections of TNF $\alpha$  did not induce cerebral symptoms, suggesting that TNF $\alpha$  might be produced locally in the brain in the case of CM [54, 55].

When measured in the brain, spleen and liver of mice developing CM, levels of TNF $\alpha$  mRNAs paralleled parasite mRNA levels in the brain but not in the spleen or liver,

suggesting that CM in mice is an encephalitis [56]. In the brain, messages for IFN-y also paralleled parasite RNA levels but to a lesser degree than TNF and earlier. In contrast, no significant induction of message for IL-2, IL-4, IL-5, IL-6, IL-10 or IL-12 was detected.

In a study comparing TNF expression in normal brains of uninfected mice, in CM-negative mice infected with PbA and in CM-positive mice infected with PbA, Hearn et al. [33] demonstrated that TNF was weakly detected in normal brains and in CM-negative brains, while strong levels of TNF $\alpha$  were observed in CM-positive brains.

Rudin et al. [57] reported that PbA infection induces fatal CM in wild-type mice, whereas TNF $\alpha/\beta$ -deficient mice are completely resistant to PbA-induced CM.

Various articles have reported the role of IFN- $\gamma$  in producing TNF $\alpha$  and in inducing CM. In CBA/Ca mice infected with PbA, the administration of antibodies to IFN- $\gamma$  has shown that IFN- $\gamma$  is responsible for the release of TNF $\alpha$  and the induction of CM [37], and use of IFN- $\gamma$ -deficient mice has demonstrated that these mice do not develop CM and show lower levels of cerebral TNF $\alpha$  when compared with wild-type mice developing CM [58, 59]. However, recent data [4] show that more important than the level of IFN- $\gamma$ , cytotoxicity of CD8+ lymphocytes having migrated to the brain is a key player in CM pathogenesis.

A recent article from Engwerda et al. [60] discussed the primordial role of TNF $\alpha$  in the pathogenesis of CM in experimental murine models and suggested that lymphotoxin  $\alpha$  (LT $\alpha$ ) would be the principal mediator of murine CM. LT $\alpha$  is a related member of the TNF family and like TNF $\alpha$  is able to bind to both TNFR1 and TNFR2. It can also form a heterotrimer with two LT $\beta$  molecules, and binds to the LT $\beta$  receptor. Engwerda et al. [60] investigated the susceptibility to CM of TNF $\alpha$ -deficient, LT $\alpha$ deficient and TNF $\alpha$ /LT $\alpha$ -deficient C57BL/6 mice. They observed that TNF $\alpha$ -deficient mice developed neurological symptoms of CM 6-8 days after infection with PbA, whereas LT $\alpha$ - and TNF $\alpha$ /LT $\alpha$ -deficient mice did not. All three types of mice presented similar blood parasitaemia. In summary, both in human and mouse CM, TNF $\alpha$  levels are increased in plasma and in the brain. However, the correlation between TNF $\alpha$  levels in plasma and in the brain and the occurrence of CM has never been clearly demonstrated. In humans, TNF promoter variants seem to play a role in the severity of malaria, but controversies remain regarding a potential correlation between the two major TNF<sub>-308</sub> A and TNF<sub>-238</sub> A alleles and the severity in terms of anaemia and CM.

#### TNF $\alpha$ and adhesion molecules in CM

Adhesion molecules are expressed on the surface of cerebral endothelium and are implicated in a wide range of neurological disorders, such as multiple sclerosis and CM. Various adhesion molecules have been identified: ICAM-1, VCAM-1 or CD54, thrombospondin (TSP), CD36, PECAM (CD31), E-selectin and so on.

In CM, TNF $\alpha$  and other cytokines such as IFN- $\gamma$  are known to act by increasing the expression of adhesion molecules. Among all the adhesion molecules identified, ICAM-1 seems to be the most important [61]. TNF $\alpha$ binding to its receptors TNFR1 and TNFR2 induces a recruitment of signal transducers that activates effectors and transcription factors, leading to a strong increase in the level of expression of ICAM-1 [62]. Adhesion molecules are implicated in the adherence of parasitised erythrocytes to cerebral endothelial cells within the microvasculature, which is responsible for pathogenesis of CM. Other adhesion molecules are involved, such as thrombospondin, VCAM, E-selectin (ELAM-1), CD36, chondroitin sulfate A, P-selectin, integrin  $\alpha v \beta 3$ , PECAM-1 (CD31) [1, 61]. The binding of parasitised red blood cells to these adhesion receptors on the cerebral endothelium modifies the integrity of the BBB by altering cell junction proteins occludin, vinculin and ZO-1 [63]. The involvement of TNF $\alpha$  in the upregulation of adhesion molecules has been clearly reported in different in vitro and in vivo experiments.

## In vitro correlation between $TNF\alpha$ and adhesion molecules

The treatment of immortalised human brain capillary endothelial BB19 cells with TNF $\alpha$  and other cytokines resulted in an increase in expression of ICAM-1, VCAM-1, E-selectin and CD36 after 6–8 h with a return to normal at 24 h. The stimulation observed with cytokine treatment was greater for ICAM-1 [64].

In coculture of immortalised human umbilical vein endothelial cells with rat glioma cells, the treatment with TNF $\alpha$  (400 ng/ml) for 6 or 18 h resulted in an increase in ICAM-1 expression, while that of CD36 remained unchanged [65].

Lou et al. [66] investigated whether the genetic susceptibility to CM was associated with a differential reactivity of these cells to TNF $\alpha$  and compared the responsiveness of brain microvascular endothelial cells (BMVECs) from CM-sensitive and CM-resistant mice to TNF $\alpha$ . At basal conditions, adhesion molecule expression was similar in the two types of mice. After TNF $\alpha$  treatment of the cells, upregulation of ICAM-1 and VCAM-1 was specifically observed with BMVEC from CM-sensitive mice.

## In vivo correlation between $TNF\alpha$ and adhesion molecules

In TNF- $\alpha/\beta$ -deficient mice, Rudin et al. [57] demonstrated that PbA did not induce the upregulation of en-

dothelial ICAM-1 expression required for development of CM.

In a study conducted on Gambian children, McGuire et al. [67] observed a correlation between circulating ICAM-1 and TNF $\alpha$  plasma levels in acute malaria, but this correlation was not investigated in CM.

Favre et al. [68] investigated the role of ICAM-1 in CM by studying the secretion of TNF $\alpha$  and the extent of sequestration of macrophages and parasitised erythrocytes in the brain in ICAM-1-deficient (-/-) mice and in wildtype ICAM-1 (+/+) mice. Although parasitaemia was similar in both (-/-) and (+/+) mice, neurological symptoms and death within 6-8 days with BBB disruption were observed only in (+/+) mice. They observed that in ICAM-1 (-/-) infected mice, plasma levels of TNF $\alpha$ were above those of noninfected mice but were significantly lower than those of (+/+) infected mice, while IFN- $\gamma$  levels were similar in both (-/-) and (+/+) mice. Surprisingly, TNF $\alpha$  mRNA levels in the brain were higher in (-/-) mice compared with (+/+), suggesting that brain-produced TNF $\alpha$  would not be directly responsible for the neurological symptoms observed in CM [68].

The authors suggested that the lower plasma levels of TNF $\alpha$  in ICAM-1 (–/–) mice could be due to a decrease of balance between production and elimination of the cytokine, production being similar and elimination being increased in ICAM-1(–/–) when compared with wild-type mice. This more rapid elimination could also be explained by the leukocytosis associated with CM, with the absence of adhesion molecules increasing the number of TNF-bearing leukocytes in circulation.

In summary, in vitro models strongly demonstrate in CM that TNF $\alpha$  increases expression of adhesion molecules. All in vivo reports suggest a strong correlation between TNF $\alpha$  and ICAM-1 in CM, with a clear increase of ICAM-1 expression with TNF $\alpha$  and modulation of TNF $\alpha$  expression in the absence of ICAM-1 in ICAM-1-deficient mice. The correlation between TNF $\alpha$  and other adhesion molecules is less clear.

#### TNF $\alpha$ and BBB disruption in CM

Many in vivo and in vitro studies have reported the implication of TNF $\alpha$  in modulation of permeability in the BBB, but data are very controversial [69]. TNF $\alpha$  either does not alter the transport of molecules across the BBB in vitro [70] or in vivo [71, 72], produces an increase of this transport in vitro [73, 74] or in vivo [75, 76], or decreases this transport [77].

Early investigations of malaria-infected *Macaca mulatta* monkeys demonstrated an increase in the cerebrospinal fluid (CSF) blood ratio of albumin, suggesting that as albumin is responsible for oncotic pressure, it would draw

water into the brain interstitium and cause cerebral oedema [78].

In his study comparing CM in ICAM (-/-) mice and wild-type ICAM-1 (+/+) mice, Favre et al. [68] observed a post-mortem breakdown of the BBB in CM-positive ICAM-1 (+/+) only, evidenced by blue staining of the brain after intravenous (IV) injection of Evans blue. As reported above,  $\text{TNF}\alpha$  mRNA levels in the brain were higher in (-/-) mice compared with (+/+), suggesting that brain-produced  $\text{TNF}\alpha$  would not be directly responsible for the neurological symptoms observed in CM and the breakdown of the BBB.

In an in vitro coculture BBB model with human umbilical vein endothelial cell (HUVEC) and rat glioma cells, Dobbie et al. [65] observed a decrease in barrier integrity evidenced by a 47% decrease in transendothelial electrical resistance after treatment of the coculture with TNF $\alpha$  for 18 h in comparison with nontreated cells.

Using macroarrays to screen gene specific expression of human endothelial cells cocultivated with the 3D7 clone of *P. falciparum*, Pino et al. [79] found an upregulation of pro-inflammatory and pro-apoptotic genes, with a significant increase in members of the TNF superfamily, including Fas, Fas ligand, VEGI and TRAIL R2. The observed endothelial cell apoptosis may contribute to the dysfunction of BBB.

In humans, Brown et al. [63] compared Vietnamese brains collected post portem after CM with brains collected post mortem after nonmalarial causes of death (control brains). By immunohistochemistry, although the cell junction proteins ZO-1, occludin and vinculin were constitutively high in control brains, they observed a generalised reduction in expression of the corresponding antigens with even, in some cases, a focal loss of staining. Brown et al. [63] hypothesised that sequestration of parasitised red blood cells in the brain via adhesion to receptors such as ICAM-1 and others could result in activation of cerebral endothelial cells and that a potential consequence of this activation could be disruption of the BBB, resulting in exposure of the brain parenchyma to plasma proteins. Perivascular macrophages would then encounter proteins leaking across the disrupted BBB and, as a consequence of activation or phagocytosis, could secrete pro-inflammatory and neuroactive mediators, such as TNF $\alpha$ , which could influence local neuronal function [63]. BBB disruption was further evidenced by an increase in immunoreactivity for fibringen in cases of CM, indicating widespread leakage of this plasma protein across the BBB. However, correlation between this BBB disruption and the level of TNF $\alpha$  in the brain was not investigated.

In summary, investigations of the BBB in CM are difficult to interprete but suggest that if BBB disruption is involved in CM, it cannot by itself explain the neurological symptoms of this disease. Most of the studies investigating BBB integrity in CM did not correlate their results with  $TNF\alpha$  levels in brain.

#### TNF $\alpha$ receptors and CM

TNF $\alpha$  sends signals to target cells through two homologous homodimeric receptors: TNFR1, also known as p55, CD120a, p60, and TNFR2, also known as p75, p80, CD120b [80]. TNFR1 and TNFR2 are membrane glycoproteins present on almost all types of cells except erythrocytes. Cell surface expression of these receptors is controlled by various factors, such as cytokines, protein kinases, phosphatases and so on. They also exist as soluble forms following cleavage from membrane and circulate in serum, urine and other biological fluids under normal and pathological situations. These soluble forms of TNFRs are able to bind TNF $\alpha$  and to act either as agonists at low concentrations (stabilising the trimeric structure of  $TNF\alpha$ ) or as inhibitors at high concentrations (by competing with cell membrane receptors) of TNF $\alpha$  activity. Transgenic mice deficient in one of the two TNF receptors have been used to investigate their respective role in infectious diseases. These experiments have shown that TNFR1 was more important than TNFR2 for resistance against most infections with fungi (Candida), with intracellular pathogens (Listeria monocytogenes, Mycobacterium tuberculosis, Toxoplasma gondii, Leishmania major) and with viruses [80]. In CM, things appear to be different [34, 81, 82].

Lucas et al. [81, 83] have investigated the in vivo expression of both TNFR1 and TNFR2 in CM-susceptible CBA/CA mice in comparison with CM-resistant BALB/c mice after PbA infection. They observed that TNFR2 expression was increased in brain microvessels at the onset of neurological signs in CM-susceptible CBA/CA mice, whereas TNFR1 expression was not modified. This upregulation of TNFR2 was not detected in the lungs. In CM-resistant BALB/c mice, levels of both receptors remained unchanged after infection with PbA.

This difference in modulation of TNF receptors in CM was confirmed by using TNFR1-deficient mice and TNFR2-deficient mice. While the evolution of parasitaemia was similar in both types of deficient mice, TNFR2-deficient mice but not TNFR1-deficient mice were protected from PbA-induced CM. Lucas et al. [81] also demonstrated that protection of TNFR2-deficient mice from CM was due to an absence in the brain of ICAM-1 upregulation required to induce neurological signs in CM. In contrast, upregulation of ICAM-1 was observed in the brains of wild-type and TNFR1-deficient mice.

Piguet et al. [82], using TNFR1- and TNFR2-deficient mice, confirmed the essential role of TNFR2 in CM but did not find upregulation of ICAM-1 in TNFR2-deficient

mice as described by Lucas et al. [81]. Moreover, they observed the pleiotropic changes in glucose metabolism seen in CM in wild-type and TNFR1-deficient mice, but not in TNFR2-deficient mice, and concluded that coma occurring in CM could be mediated by metabolic perturbations under the control of TNFR2.

As (i) TNFR2-deficient mice were protected from PbAinduced CM [81, 82], (ii) TNF $\alpha$ -deficient mice are sensitive to CM, whereas LT $\alpha$  and TNF $\alpha$ /LT $\alpha$ -deficient are protected from CM [60, see also above], and (iii) TNF $\alpha$ and  $LT\alpha$  share the same two receptors with similar affinity [6], LT $\alpha$ , but not TNF $\alpha$ , could be the primary mediator of murine CM, as suggested by Engwerda et al. [60]. In contrast, in vitro, Lou et al. [66] did not find such differences between TNFR1 and TNFR2. On BMVECs from CM-sensitive and CM-resistant mice, they investigated a differential reactivity to TNF $\alpha$  by comparing the expression of the two specific cell surface membrane receptors TNFR1 and TNFR2. At basal conditions without TNF $\alpha$  stimulation, the constitutive expression of both receptors was similar. In contrast, after 12 h of TNF $\alpha$  treatment, TNFR1 and TNFR2 were upregulated on BMVECs from CM-sensitive mice but not from CM-resistant mice. No difference in upregulation was observed between the two types of receptors. This upregulation on BMVECs of CM-sensitive mice was dependent on time of TNF $\alpha$  treatment but not on TNF $\alpha$  concentration.

Using focal intrastriatal injection of TNF $\alpha$ , Sibson et al. [84] demonstrated that acute administration provoked a dose-dependent reduction in cerebral blood volume, mediated by endothelin and coupled to activation of the TNFR2 pathway, and suggested that this increase in cerebral blood volume could be a contributing factor to neuronal dysfunction or degeneration of CM.

A hypothesis has been made that soluble TNF receptors (sTNFRs) could be involved in protection against CM. Lesslauer et al. [85] have demonstrated that sTNFR competitively inhibits the interaction between TNF $\alpha$  and membrane-bound receptors, and Garcia et al. [86] reported that transgenic mice with high levels of soluble sTNFR1 were protected against experimental CM.

In summary, different experiments showed that TNF receptors are upregulated in CM. This upregulation has been demonstrated in vivo using CM-susceptible mice in comparison with CM-resistant mice, and in vitro, on isolated brain microvascular endothelial cells isolated from these two types of mice. However, conflicting data have been reported about differences of levels of upregulation between these two receptors. TNFR2 was more upregulated in vivo than TNFR1 whereas levels of TNFR regulation did not differ in vitro. Studies performed in CM and other pathological situations suggest that membrane-bound TNF receptors could be involved in the pathogenesis of CM, whereas soluble forms of TNF receptors could be involved in protection against CM by binding

with TNF $\alpha$  and preventing it from binding to membrane bound TNF receptors.

#### $TNF\alpha$ , NO production and CM

Involvement of nitric oxide (NO) in CM and its relation with TNF $\alpha$  are still unclear. Various studies were performed in severe malaria but not specifically in CM. Clarke et al. [87, 88] have seriously questioned the obstruction of cerebral blood flow by parasitised erythrocytes and rosettes as the mechanism of CM, because patients recovering from CM usually do not have neurological sequelae, which should be the consequence of cerebral ischaemia severe enough to cause an episode of coma. As an alternative explanation, the authors hypothesised that the high level of TNF $\alpha$  in CM is responsible for induction of NO production in vascular walls. NO would then cross the BBB and cause functional alterations such as inhibition of calcium entry and reduced activity of calcium-dependent NO synthetase, thus reducing NO formation in postsynaptic neurons. NO in the brain is an essential component which controls synaptic plasticity and excitatory neurotransmission. This mechanism, in contrast to vascular obstruction by parasitised red blood cells, would not be followed by neurological sequelae and could explain why increased levels of TNF $\alpha$  tend to correlate with cerebral symptoms in CM.

However, the experiments of Kremsner et al. [89, 90] did not confirm this hypothesis. They investigated the relation between malaria antigen, TNF and reactive nitrogen intermediates (RNIs). Malaria antigen and TNF  $\alpha$  induced dose-dependent RNI production in macrophages. RNI production was enhanced when the malaria antigen was coincubated with TNF. Pentoxifylline, an inhibitor of TNF $\alpha$  production, did not significantly influence TNF-induced RNI production. An anti-TNF monoclonal antibody (mAb) did not significantly alter the malaria antigen-induced RNI synthesis by macrophages. In experimental cerebral malaria in mice, inhibitors of nitric oxide synthase, L-N-monomethyl arginine and N omega-nitro-L-arginine did not exert any significant effect on the development of CM.

Different experiments performed using an in vitro model of primary cultures of human endothelial cells (ECs) and different strains of *P. falciparum* yielded the critical observation that the CD23/NO pathway is functional in endothelial cells. As a matter of fact, (i) *P. falciparum*-infected red blood cells induced expression of CD23 on ECs, (ii) there is increased iNOS expression followed by generation of nitrites upon EC stimulation by anti-CD23 mAb and (iii) addition of L-NMMA inhibited CD23-derived effects in ECs. CD23 and its physiologic ligand, IgE, have been shown to be expressed during multiple infectious diseases, including plasmodia infection. The

CD23/NO pathway appears to play a prominent anti-plasmodial role either directly through parasite killing or indirectly by inhibiting cytoadherence of parasited red blood cells, most likely due to a decreased expression of ICAM-1 [91].

In summary, through the hypothesis involving the TNF $\alpha$ /NO interaction looks interesting and could explain the absence of cerebral sequelae in CM, this remains to be proven, and the experiments conducted in macrophages do not seem to go in that direction.

#### IL-10: TNFα ratio in CM

IL-10 is a Th2 cytokine which regulates the production of TNF $\alpha$ . Various experiments have shown that IL-10 levels were increased in malaria [92, 93]. Moreover, it mediates protection against experimental CM in mice [94]. Administration of IL-10 to susceptible CBA mice protected them from CM after infection with PbA, and neutralisation of IL-10 in naturally resistant BALB/c mice induced neurological symptoms [95].

Owing to downregulation of TNF $\alpha$  by IL-10, researchers have tried to determine whether the IL-10:TNF $\alpha$  ratio could be a better marker of the risk of severe malaria and especially of CM, than IL-10 or TNF $\alpha$  considered individually.

In Kenyan children suffering from different severities of malaria, the mean IL-10:TNF $\alpha$  ratio was significantly lower in children with malarial anaemia (mean ratio, 1.77) than in children with mild malaria or high-density uncomplicated malaria (mean ratio, 4.64) [96]; however, CM was not considered in this study.

In contrast, May et al. [10] compared IL-10:TNF ratio in Gabonese children with CM, severe anaemia and hypoglycaemia and observed that patients with CM or severe anaemia or hypoglycaemia had always an IL-10:TNF $\alpha$  ratio of <1, whereas the ratio was >1 in case of hyperparasitaemia.

In a study comparing children from Ghana with severe anaemia, CM or uncomplicated malaria, the ratio of IL-10:TNF $\alpha$  was significantly lower in severe anaemia but did not differ significantly between patients with CM and uncomplicated malaria [97].

In summary, available data are insufficient to suggest that IL-10: TNF $\alpha$  ratio could be a better marker of CM risk than IL-10 or TNF $\alpha$  levels taken individually.

## TNF $\alpha$ or anti-TNF $\alpha$ therapy as protection against CM

#### TNF $\alpha$ as protection from CM

Recombinant human TNF $\alpha$  (rhTNF $\alpha$ ) is a monomeric nonglycosylated polypeptide of 157 amino acids that

forms a compact homotrimer that is unstable at low concentrations.  $rhTNF\alpha$  plays a dual role in experimental CM. Excess levels of  $rhTNF\alpha$  have proven to induce cerebral symptoms in mice infected with *P. berghei* [98]; however, such levels are irrelevant to disease states. In contrast, other studies have shown that low levels of  $rhTNF\alpha$  protect mice infected with *P. berghei* K173 against CM in mice [99].

The stabilisation of rhTNF $\alpha$  trimers has been performed by Postma et al. [99] by reaction between the protein and the reagent succimidyl-S-acetylthioacetate (SATA). Intravenous injections of rhTNF $\alpha$  protected mice from CM, and protection was increased when using the stabilised form of rhTNF $\alpha$  trimers.

After treatment with rhTNF $\alpha$ , plasma levels of soluble TNFR1 were always below the limit of detection. Concentrations of soluble TNFR2 were increased with rhTNF $\alpha$ , depending on the dose, with a return to basal levels 24 h after treatment.

The mechanism by which low levels of TNF $\alpha$  could protect against CM is still unclear. Hypotheses have been suggested, such as an inhibition of parasitaemia, which has been demonstrated in different models [12, 99], although reported data suggest that the level of parasitaemia was not a key factor in the development of CM in an experimental murine model [100]. Another explanation could be the development of tolerance after treatment with low doses, which could be a regulatory mechanism that controls excessive stimulation by this cytokine [99].

The fact that low levels of TNF $\alpha$  protect against CM, whereas high levels induce CM, could partly explain the contradictory results observed in the studies concerning CM. It suggests that in all CM studies, TNF $\alpha$  levels should be carefully monitored.

#### Anti-TNFα therapy as protection against CM

Whereas high concentrations of rhTNF $\alpha$  have induced cerebral signs in *P. berghei* infected mice [98], monoclonal B-C7 antibody directed to TNF $\alpha$  has failed to improve survival in Gambian children with CM and was even associated with a significant increase in neurological sequelae [101]. The authors suggested that the antibody could have retained TNF $\alpha$  within the circulation and therefore could have prolonged its effects on vascular endothelium.

In a comparative pilot study where a small group of 17 patients with severe falciparum malaria received polyclonal-specific Fab fragment directed against TNF $\alpha$ , and 11 control patients received the corresponding placebo before treatment with artesunate, a faster resolution of clinical manifestations and reduction of fever were observed in the group with anti-TNF $\alpha$  treatment, whereas parasite clearance times were longer [102]. Antibody

treatment reduced IFN- $\gamma$  concentrations but had no obvious effect on levels of other cytokines, although unbound TNF $\alpha$  was undetectable after Fab treatment.

Pentoxifylline is known to inhibit TNF $\alpha$  and could therefore influence prevention or treatment of CM. In combination with artesunate in the treatment of 45 patients with severe falciparum malaria, pentoxifylline at low and high doses failed to improve parasite and fever clearance times and recovery time from coma [103], although high doses of pentoxifylline reduced plasma levels of TNF, IL-6 and TNF receptor in patients with severe malaria [104]. In another study in 51 patients with *P. falciparum* malaria, Hemmer et al. [105] observed that pentoxifylline did not modify the decrease in TNF levels and did not affect the clinical outcomes in a significant way.

In summary, in murine models, injections of low doses of rhTNF $\alpha$  protect from CM, whereas excess TNF $\alpha$  induces neurological symptoms. The mechanism of this dual role remains unknown. In patients infected with *P. falciparum*, anti-TNF $\alpha$  therapy (mAb, polyclonal Fab fragment or pentoxifylline) did not show any efficiency in protection from CM.

#### Conclusion

The data available in the literature are very controversial according to the authors (table 1). Plasma TNF $\alpha$  levels are not correlated with CM for some authors, whereas they are higher in CM compared with uncomplicated malaria for others. Injections of TNF $\alpha$  may or may not induce cerebral symptoms in infected mice. TNFR2 expression but not TNFR1 expression is increased in CM-susceptible mice, whereas TNFR1 and TNFR2 are both regulated to the same extent on BMVEC from CM-susceptible mice.

One possible explanation of the controversial results in human studies can be related to practical considerations such as different clinical definitions of CM among patients. According to the World Health Organization, CM must be limited to patients unable to localise a painful stimulus with peripheral asexual falciparum parasitaemia and no other causes of encephalopathy. But CM is often difficult to differentiate from cerebral symptoms due to complications related to other organs. The problem is similar in experiments conducted on the murine models where CM is often defined according to the time to death and parasitaemia without considering cerebral symptoms.

Although all these reports are contradictory,  $\text{TNF}\alpha$  and  $\text{TNF}\alpha$  receptors seem to be involved in the pathogenesis of CM, but the  $\text{TNF}\alpha/\text{TNFR}$  pathways probably do not work alone and are part of a variety of reactions influencing parasite development, inflammation and cytoadherence.

Further investigations are needed to better clarify the precise role of TNF $\alpha$  and TNFRs in CM before TNF $\alpha$  mod-

Table I. Role of TNF $\alpha$  in the pathogenesis of CM.

	References
Plasma or brain levels of TNF $lpha$ and CM in human	
Plasma TNF $\alpha$ was not clearly correlated with CM Plasma TNF $\alpha$ levels were higher in CM versus uncomplicated malaria	36 37–39
Polymorphisms of TNF $\alpha$ and CM in human	37-39
TNF-238 A allele was associated with severe anaemia but not with CM (Gambian children)	46
TNF-308 A allele was associated with CM but not with severe malaria anaemia (Gambian children)	47
TNF-308 A allele was associated with severe anaemia with a trend towards a risk for severe malaria anaemia (Kenyan children)	48
OCT1 binding genotype was associated with a fourfold increase in susceptibility to CM (Africa) TNFP-D was associated with CM in Myanmar	49 50
Human <i>P. falciparum</i> strains inducing CM and TNF $\alpha$	51
There is no evidence that CM is caused by <i>P. falciparum</i> strains inducing specifically high levels of TNF $\alpha$	31
Experimental murine model of CM and TNF $\alpha$ High doses of rh-TNF $\alpha$ induced cerebral symptoms in <i>P. berghei</i> infected mice	98
Mouse CM was associated with high levels of TNF $\alpha$ , but injections of TNF $\alpha$ did not induce cerebral symptoms	54, 55
In murine experimental CM, levels of TNF $\alpha$ mRNAs paralleled parasite mRNAs in the brain but not in the spleen or liver	56
High levels of $TNF\alpha$ were observed in the brain of mice with CM compared with noninfected mice or infected mice without CM	33
Brain produced TNF $\alpha$ was not directly responsible for the neurological symptoms in CM	68
Lymphotoxin (LT $\alpha$ ) and not TNF $\alpha$ would be the major mediator in murine experimental CM	60
$TNF \pmb{\alpha}$ and adhesion molecules in CM	
After $TNF\alpha$ treatment , ICAM-1 and VCAM-1 were upregulated, specifically in brain microvascular endothelial cells coming from CM-sensitive mice	66
Serum TNF $\alpha$ levels were lower and TNF $\alpha$ mRNAs were higher in ICAM-1-deficient mice when compared with wild-type	68
In TNF-alpha/beta-deficient mice, PbA did not induce the upregulation of ICAM-1 expression required for the development of CM.	57
TNFα and TNFR1 and TNFR2 receptors in CM	
TNFR2 expression was increased in CM-susceptible mice when compared with CM-resistant mice, whereas	
TNFR1 expression was not modified. TNFR2-deficient mice but not TNFR1-deficient mice are protected from PbA-induced CM	83
After TNF $\alpha$ treatment, TNFR1 and TNFR2 were both upregulated at the same level on BMVEC from CM-sensitive mice when compared with CM-resistant mice.	66
Transgenic mice with high levels of soluble sTNFR1 were protected from experimental CM	86
TNF $\alpha$ and NO in CM High levels of TNF $\alpha$ in CM would induce NO production in vascular walls which, through retro-control,	87, 88
would reduce NO formation in postsynaptic neurons with cerebral symptoms as a consequence	
Pentoxifylline (TNF $\alpha$ inhibitor) and anti-TNF $\alpha$ monoclonal antibody did not modify reactive nitrogen intermediates synthesis and NO synthetase inhibitors did not modify occurrence of CM in a murine	90
experimental model.	70
IL10: TNFα ratio in CM	
IL10:TNF $\alpha$ ratio was lower in severe anaemia but did not differ significantly between patients with CM or uncomplicated malaria	97
Children with CM consistently had IL10:TNF $\alpha$ ratios of <1	10
$TNF\alpha$ in the protection from CM	
Low levels of rh-TNF $\alpha$ protected <i>P. berghei</i> K173-infected mice from CM	99
Monoclonal antibody anti-TNF $\alpha$ failed to improve survival in Gambian children with CM	101

ulation therapy can be considered in the prevention and/or treatment of CM.

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